07-02-01

UTILITY PATENT APPLICATION TRANSMITTAL (Small Entity)

Docket No. 4278.1 P

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Total Pages in this Submission 103

TO THE ASSISTANT COMMISSIONER FOR PATENTS

Box Patent Application Washington, D.C. 20231

DAT	'A LI	NK I	OR M	UL	TI PROTOC	OL I	FACILITY DIST	RIBUTED CO	MMUNICATION HUB	1082
and inv	ente	d by:								
Wille	es et	al.								
If a CC	ONT	INUA	TION	API	PLICATION,	chec	ck appropriate bo	ox and supply	the requisite information:	
⊠ C	Conti	nuat	ion		Divisional		Continuation-	in-part (CIP)	of prior application No.:	60/215,213
Which		: nuat	ion		Divisional		Continuation-	in-part (CIP)	of prior application No.:	
Which		: nuat	ion		Divisional		Continuation-	in-part (CIP)	of prior application No.:	
Enclos	sed a	are:					Application	n Elements		
1.	X	Filir	ıg fee a	as c	calculated an	d trai	nsmitted as desc	ribed below		
2.	X	Spe	cificati	on	having		20	_ pages and i	ncluding the following:	
	a.	×	Desc	ripti	ive Title of th	e Inv	ention			
	b.	×	Cross	s R	eferences to	Rela	ted Applications	(if applicable)		
	C.		State	me	nt Regarding	Fed	erally-sponsored	l Research/De	evelopment (if applicable)	
 d. Reference to Microfiche Appendix (if applicable) e. A Background of the Invention 										
	f.	X	Brief	Su	mmary of the	Inve	ention			
	g.	X	Brief	De	scription of th	ne Dr	awings <i>(if drawii</i>	ngs filed)		
	h.	X	Deta	iled	Description					
	i.	X	Clain	n(s)	as Classifie	d Bel	ow		,	
	j.	X	Abstı	ract	of the Disclo	sure				

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	Application Elements (Continued)									
3.	X	Drawing(s) (when necessary as prescribed by 35 USC 113)								
	a.	➤ Formal b. ☐ Informal Number of Sheets 68								
4.	X	Oath or Declaration								
	a.	☐ Newly executed (original or copy) ☑ Unexecuted								
	b.	☐ Copy from a prior application (37 CFR 1.63(d)) (for continuation/divisional application only)								
	c.	With Power of Attorney Without Power of Attorney								
	d.	DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. 1.63(d)(2) and 1.33(b).								
5.		Incorporation By Reference (usable if Box 4b is checked) The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.								
6.		Computer Program in Microfiche								
7.		Genetic Sequence Submission (if applicable, all must be included)								
	a.	☐ Paper Copy								
	b.	☐ Computer Readable Copy								
	C.	☐ Statement Verifying Identical Paper and Computer Readable Copy								
		Accompanying Application Parts								
8.		Assignment Papers (cover sheet & documents)								
9.		37 CFR 3.73(b) Statement (when there is an assignee)								
10.		English Translation Document (if applicable)								
11.	X	Information Disclosure Statement/PTO-1449								
12.		Preliminary Amendment								
13.	X	Acknowledgment postcard								
14.	×	Certificate of Mailing								
		☐ First Class ☒ Express Mail (Specify Label No.): ET526090608US								

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Accompanying Application Parts (Continued)								
15.		Certified Copy of Priority Document(s) (if foreign priority is claimed)						
16.	X	Small Entity Statement(s) - Specify Number of Statements Submitted: 2						
17.		Additional Enclosures (please identify below):						
		Request That Application Not Be Published Pursuant To 35 U.S.C. 122(b)(2)						
18.		Pursuant to 35 U.S.C. 122(b)(2), Applicant hereby requests that this patent application not be published pursuant to 35 U.S.C. 122(b)(1). Applicant hereby certifies that the invention disclosed in this application has not and will not be the subject of an application filed in another country, or under a multilateral international agreement, that requires publication of applications 18 months after filing of the application.						
		Warning						
		An applicant who makes a request not to publish, but who subsequently files in a foreign country or under a multilateral international agreement specified in 35 U.S.C. 122(b)(2)(B)(i), must notify the Director of such filing not later than 45 days after the date of the filing of such foreign or international application. A failure of the applicant to provide such notice within the prescribed period shall result in the application being regarded as abandoned, unless it is shown to the satisfaction of the Director that the delay in submitting the notice was unintentional.						

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Total Pages in this Submission 103

Fee Calculation and Transmittal

CLAIMS AS FILED

Fee #Allowed #Extra Rate #Filed For \$0.00 5 - 20 = 0 \$9.00 **Total Claims** х 4 - 3 = 1 \$40.00 \$40.00 х Indep. Claims **Multiple Dependent Claims (check if applicable)** \$0.00 \$355.00 **BASIC FEE** \$0.00 OTHER FEE (specify purpose) **TOTAL FILING FEE** \$395.00

X	A checl	k in the amount of	\$355.00	to cover the filing fee is er	nclosed.
	The Co	mmissioner is hereb	y authorized to	charge and credit Deposit Ac	count No.
	as des	cribed below. A dupl	icate copy of th	nis sheet is enclosed.	
		Charge the amount	of	as filing fee.	
		Credit any overpayr	ment.		
		Charge any addition	nal filing fees re	equired under 37 C.F.R. 1.16 a	and 1.17.
		Charge the issue fe	e set in 37 C.F	F.R. 1.18 at the mailing of the $ m I$	Notice of Allowance,
		pursuant to 37 C.F.	R. 1.311(b).		
.				Ma	
Date	ea: 🔏 '	9 June 2001			WILL. Jack

cc:

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

INVENTORS: W. Paul Willes

Samuel C. Kingston Ronald S. Leahy Douglas A. Steck

ASSIGNEE: Phonex Broadband Corporation

SERIAL NUMBER: n/a

DATE FILED: n/a

TITLE: DATA LINK FOR MULTI PROTOCOL FACILITY

DISTRIBUTED COMMUNICATION HUB

ATTORNEY DOCKET: 4278.1 P

Assistant Commissioner for Patents Box PATENT APPLICATION Washington, DC 20231

COVER LETTER

Honorable Assistant Commissioner:

Enclosed herewith please find the following documents comprising a United States patent application: (1) specification, claims and drawings, (2) declaration of inventor(s), (3) fee calculation sheet, (4) fee, (5) statements of small entity status, (6) information disclosure statement and form(s) 1449, and (7) return receipt postcard.

Respectfully submitted this 29 % day of _______, 2001.

Lloyd W. Sadler Reg. No. 40,154

MCCARTHY & SADLER, LC 39 Exchange Place, Suite 100 Salt Lake City, Utah 84111 Telephone: (801) 323-9399

Facsimile: (801) 323-9396

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

INVENTORS:

W. Paul Willes

Samuel C. Kingston Ronald S. Leahy Douglas A. Steck

ASSIGNEE:

Phonex Broadband Corporation

SERIAL NUMBER:

n/a

DATE FILED:

n/a

TITLE:

DATA LINK FOR MULTI PROTOCOL FACILITY

DISTRIBUTED COMMUNICATION HUB

ATTORNEY DOCKET:

4278.1 P

Assistant Commissioner for Patents Box PATENT APPLICATION Washington, DC 20231

CERTIFICATE OF MAILING

Honorable Assistant Commissioner:

I hereby certify that the attached paper of fee (Patent Application) is being deposited with the United States Postal Service as "Express Mail Post Office to Addressee," Express Mail Mailing Label Number <u>£75260 90608 US</u>, (under 37 C.F.R. § 1.10) on this <u>29</u> day of _______, 2001 with sufficient postage and in an envelope addressed to the Assistant Commissioner for Patents, Washington, DC 20231.

Signature of registered practitioner or other person having a reasonable basis to expect mailing to occur on the date of deposit shown pursuant to 37 C.F.R. § 1.8(a) or person actually making deposit pursuant to 37 C.F.R. § 1.10(b).

<u>Lloyd W. Sadler, Reg. No. 40,154</u> Name of person whose signature is given above

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE



INVENTORS: W. Paul Willes

> Samuel C. Kingston Ronald S. Leahy Douglas A. Steck

ASSIGNEE:

Phonex Broadband Corporation

SERIAL NUMBER:

n/a

DATE FILED:

n/a

TITLE:

DATA LINK FOR MULTI PROTOCOL FACILITY

DISTRIBUTED COMMUNICATION HUB

ATTORNEY DOCKET:

4278.1 P

Assistant Commissioner for Patents Box PATENT APPLICATION Washington, DC 20231

FEE CALCULATION SHEET

Honorable Assistant Commissioner:

The fee for the accompanying patent application is calculated as follows:

Basic Filing Fee (small entity)	\$355.00
Independent claims in excess of three	\$
(x 39.00 each)	
Claims in excess of twenty	\$
(x 9.00)	
Recordation of Assignment	\$ 0.00
TOTAL	\$3 5 5.00

A check for this amount is enclosed.

Respectfully submitted this <u>29</u> day of <u>Jewe</u>

2001.

Lloyd W. Sadler, Reg. No. 40,154 MCCARTHY & SADLER, LC 39 Exchange Place, Suite 100 Salt Lake City, Utah 84111

Telephone: (801) 323-9399 Facsimile: (801) 323-9396